Attorney Docket No. **UTILITY PATENT APPLICATION** APPT-001-1-1 TRANSMITTAL First Inventor Russell S. Dietz METHOD AND APPARATUS FOR MONITORING (New Nonprovisional Applicati ns Under 37 CFR § TRAFFIC IN A NETWORK 1.53(b)) Express Mail Label No. EV325162991US Mail Stop Patent Application **ADDRESS TO: APPLICATION ELEMENTS** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 1. Fee transmittal form (in duplicate) 7. CD-ROM in duplicate, large table, or computer pro (Appendix) 2. Applicant(s) claim(s) a small entity status. 8. Nucleotide &/or amino acid sequence submission 3. X 67 sheet(s) of specification, claims, and abstract ACCOMPANYING APPLICATION PARTS 4. 🔀 <u>18</u> __ sheet(s) of formal Drawing(s) with a 9. Assignment papers (cover sheet & documents) submission letter to the Official Draftsperson 10. D 37 CFR 3.73(b) statement. Power of 5. Declaration and Dower of Attorney Attorney a. Newly executed (original or copy) 11. English translation document. b. Copy from prior application for continuing Information Disclosure Statement (Form PTO-1449) application with box 18 completed and copies of IDS citations. i. DELETION OF INVENTOR(S) 13. X Preliminary Amendment. signed statement attached deleting inventor(s) named in the prior application. 14. X Return Receipt postcard. 6. Application Data Sheet 15. Certified copies of priority documents. 16. Request and certification under 35 USC 122 (b)(2)(B)(i). 17. \(\text{\tiket{\text{\te}\text{\texi}\text{\text{\texi}\text{\text{\text{\text{\texi}\text{\texi{\text{\texi{\texi}\text{\texi{\text{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{\texi{ state/country and citizenship for each 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: of prior application no: Continuation . Divisional. Continuation in part (CIP). Prior application information. Examiner: Group Art Unit: Khanh Q. DINH 2155 For CONTINUATION OR DIVISIONAL APPLICATIONS ONLY: the entire disclosure of the prior application, from which an oath or declaration is supplied under item 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 21921. Customer Number: (Name: Dov Rosenfeld, INVENTEK) Name: Dov Rosenfeld, Registration. No.: 38687 Signature: Date: Oct 13th, 2003 Certificate of Mailing under 37 CFR 1.10 I hereby certify that this application and all attachments are being deposited with the United States Postal Service as Express Mail (Express Mail Label: EV325162991US in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on. X. 2003 Signed: Name: Dov Rosenfeld, Reg. No. 38687

FEE TRANSMITTAL (New Nonprovisional Applications Under 37 CFR § 1.53(b))		Attorney Docket No. A		APPT-00	1-1-1	
		First Inventor Rus		Russel	ussell S. Dietz	
		Title			APPARAT NETWORK	US FOR MONITORING
TOTAL PAYMENT	\$1292.00 \$7500	Expres	s Mail L	abel No		EV325162991US

•

METHOD OF PAYMENT					
1. X The con	1. X The commissioner is hereby authorized to charge any missing fees and credit any overpayment to				
Deposit Account - Number	<u>50-0292</u>				
Deposit Account Name	INVENTEK/ROSENFELD		•		
Applicant(s) claim(s) a small entity status.					
2. X Payment is enclosed:					
☐ check	credit card. (Credit Card Charge form enclosed)	☐ Money order	Other		

		FEE CALCULATION		
	CLAIMS AS FILED		OTHER THAN SMALL	ENTITY
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	49	29	\$18.00	\$ 522.00
Independent Claims	3	0	\$86.00	\$ 0.00
Multiple Dependent	Claims (if applicable)			\$0.00
Assignment Record	ding Fee			\$0.00
Basic Filing Fee	Basic Filing Fee			\$770.00
			Total Filing Fee	\$1,292.00

SUBMITTED BY					
Name: Dov Rosenfeld, Registration. No. : 38687				38687	
Signature:			Date:	Oct 13,2003	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

	Attorne	y Docke	et No.	APPT-001-1-1
INVENTOR(S)/APPLICANT(S)	First In	ventor	Russel	Il S. Dietz
(New Nonprovisional Applications Under 37 CFR § 1.53(b))				
3(2)/	Title			APPARATUS FOR MONITORING NETWORK
	Expres	s Mail L	abel No.	EV325162991US

Last Name	First Name, MI	Residence (City and Either State or Foreign Country)	Citizenship
Dietz	Russell S.	San Jose, California, USA	US
Maixner	Joseph R.	Aptos, California, USA	US
Koppenhaver	Andrew A.	Littleton, CO, USA	US
Bares	William H.	Germantown, TN, USA	US
Sarkissian	Haig A.	San Antonio, Texas, USA	US
Torgerson	James F.	Andover, MN, USA	US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dietz, et al.

Title: METHOD AND APPARATUS FOR

MONITORING TRAFFIC IN A NETWORK

Group Art Unit: unassigned

Examiner: unassigned

LETTER TO OFFICIAL DRAFTSPERSON SUBMISSION OF FORMAL DRAWINGS

The Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTN: Official Draftsperson

Dear Sir or Madam:

Attached please find <u>18</u> sheets of formal drawings to be made of record for the above-identified patent application submitted herewith.

Respectfully Submitted,

Oct 13,2003

Date

Dov Rosenfeld, Reg. No. 38687

Address for correspondence and attorney for applicant(s):

Dov Rosenfeld, Reg. No. 38,687 5507 College Avenue, Suite 2

Oakland, CA 94618

Telephone: (510) 547-3378; Fax: (510) 653-7992

Certificate of Mailing under 37 CFR 1.10

I hereby certify that this application and all attachments are being deposited with the United States Postal Service as Express Mail (Express Mail Label: <u>EV325162991US</u> in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2015-1450 on.

Date: Oct 18, 2003

Signed:

Name: Dov Rosenfeld, Reg. No. 38687



FEE TRANSMITTAL (New Nonprovisional Applications Under 37 CFR § 1.53(b)) Title METHOD AND APPARATUS FOR MONITORING TRAFFIC IN A NETWORK TOTAL PAYMENT \$1292.00 \$ 500 Express Mail Label No. EV325162991US

METHOD OF PAYMENT					
1. X The commissioner is hereby authorized to charge any missing fees and credit any overpayment to					
Deposit Account Number					
Deposit Account Name					
Applicant(s) claim(s) a small entity status.					
2. X Payment is enclosed:					
☐ check ☐ credit card. ☐ Money order ☐ Other (Credit Card Charge form enclosed)					

		FEE CALCULATION		
	CLAIMS AS FILED		OTHER THAN SMALL	ENTITY
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	49	29	\$18.00	\$ 522.00
Independent Claims	3	0	\$86.00	\$ 0.00
Multiple Dependen	t Claims (if applicable)	<u> </u>		\$0.00
Assignment Record	ding Fee			\$0.00
Basic Filing Fee				\$770.00
			Total Filing Fee	\$1,292.00

SUBMITTED BY				
Customer Number: 21921. (Dov Rosenfeld, INVENTEK)				
Name: Dov Rosenfeld, Registration. No.: 38687				
Signature: Date: Oct 13,2003				